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Receipt 21



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Date 5/31/02 Sig: Marjorie Scariati  
Name: Marjorie Scariati

## IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT(S): Murray L. Finebaum et al.

SERIAL NO.: 10/001,921

FILING DATE: 11/15/2001

TITLE: METHOD AND APPARATUS FOR TRADING BONDS

ART UNIT: 2131

DOCKET: 1500/2

U.S. Patent and Trademark Office  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

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JUN 18 2002  
Technology Center 2100

## LETTER

This is in response to receipt of the updated filing receipt dated 5/16/2002. Please make the following correction to the receipt:

Correct the Assignment for Published Patent Application information as follows:

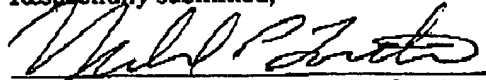
Market Axess Inc., New York, NY.

The assignee's name was incorrectly listed as "Market Access" on the updated filing receipt.

The executed Assignment filed April 2, 2002 correctly listed the assignee as "Market Axess Inc." This correction is marked in red on the attached updated filing receipt copy.

It is respectfully requested that a corrected updated filing receipt be promptly issued that properly reflects the assignee's name as stated in the executed Assignment filed April 2, 2002.

Respectfully submitted,



Michael P. Fortkort Reg. No. 35,141  
Mayer, Fortkort & Williams  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/001,921	11/15/2001	2131	1026	1500/2	35	53	10

27774  
MAYER, FORTKORT & WILLIAMS, PC  
251 NORTH AVENUE WEST  
2ND FLOOR  
WESTFIELD, NJ 07090



CONFIRMATION NO. 8945  
UPDATED FILING RECEIPT



\*OC00000008135531\*

Date Mailed: 05/16/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Murray L. Finebaum, Santa Monica, CA;  
Bradley Levie, New York, NY;  
Trevor Murphy, Amherst, NH;

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## Assignment For Published Patent Application

~~Market Access~~, New York, NY;  
*Market Access Inc.*

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/249,849 11/17/2000

## Foreign Applications

If Required, Foreign Filing License Granted 01/09/2002

Projected Publication Date: 08/22/2002

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

Title

## Method and apparatus for trading bonds

## Preliminary Class

713

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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